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Direct chitosan nanoscaffold formation via chitin whiskers

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Abstract

Chitosan nanoscaffold obtained directly from chitin whiskers is proposed. The structural analysis by nuclear magnetic resonance (¹H NMR) confirms that the alkaline treatment changes chitin whisker to chitosan nanoscaffold with as high as 98% degree of deacetylation. The micrographs from scanning electron microscope (SEM) and transmission electron microscope (TEM) confirm that the short fiber of chitin whiskers develop itself to be a network in nano-scale of chitosan or chitosan nanoscaffold. The increase in viscosity of chitosan nanoscaffold as compared to that of the chitin whiskers suggests that the scaffold network performs as a polymer bulk in the solution. The increases in surface area, pore volume, and pore size as studied by the Brunauer–Emmett–Teller (BET) gas adsorption inform the development of porous structure in chitosan. The well dispersion of nanoscaffold in the solution is easily controlled by the solvent polarity and salt concentration.

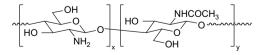
Keywords: Chitin whisker; Chitosan nanoscaffold; Nanomaterial

1. Introduction

Chitin—chitosan is a naturally occurring copolymer of β -(1-4)-2-acetamido-2-deoxy- β -D-glucose and β -(1-4)-2-amino-2-deoxy- β -D-glucose (Scheme 1) obtained from the shells of crustaceans, the cuticles of insects, or the cell walls of fungi and yeasts. The copolymer exhibits specific properties, especially bioactivity [1], biocompatibility [2], biodegradability [3], and non-toxicity [4] to afford the potential applications in pharmaceutical and biomedical fields. However, chitin—chitosan has its own limitation concerning its inertness, either its solubility or the reaction, due to the strong hydrogen bond network. For a chitin-based copolymer (degree of deacetylation less than 70%), only a few solvents, such as N,N—dimethylacetamide (DMAc) containing 5—10% LiCl, hexa-fluoroacetone, and hexafluoro-2-propanol [5] are appropriate.

In the case of chitosan, carboxylic and/or mineral acids are its good solvents and offer the simple materialization such as film casting [6], spray drying for beads or spheres [7], crosslinked gels or membranes [8-10].

In the recent years, the scaffold has been known as an alternative materialization to obtain the product with nano/micro pores structure appropriate for advanced applications, such as artificial extracellular matrices [11–13], micro-particles for drug delivery, and medical implants [14–18]. For chitosan, porous scaffolds were reported to be successfully prepared by the controlled lyophilization of chitosan solutions or gels [19,20], and by the electrospinning technique [21]. Although each method has its advantages, the use of acid and/or chemical reagents for solvents and crosslinkers and the dense precipitation of electrospun fibers raise questions about chemical



Scheme 1. Chitin-chitosan copolymer.

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reagent contamination, scaffold porosity, and multi-steps preparation, etc.

Previously, Nair and Dufresne [22] reported about chitin whiskers via acid hydrolysis and proposed the use as reinforcing material for rubber. Herein, we report for the first time that chitosan nanoscaffold can be directly prepared from the chitin whisker. The preparation is simple and effective since it is a one-pot deacetylation and yields high amount of the chitosan nanoscaffold. Moreover, this scaffold is safe because no organic solvents or chemicals involved. The changing in morphology, i.e. from chitin nano-whisker to chitosan nanoscaffold, is also unique and important for further development when we consider the advanced and practical applications, especially the biomedical-based ones.

2. Experimental

2.1. Chemicals

Chitin flakes from shrimp shells were provided by Seafresh Chitosan (Lab) Company Limited, Thailand. Methanol and *iso*-propanol were purchased from Carlo Erba Reagenti, Italy. Sodium hydroxide, sodium chloride, hydrochloric acid, acetic acid, and dimethylsulfoxide (DMSO) were obtained from Lab-Scan, Ireland. Hexafluoro-2-propanol (HFP) was provided by Central Glass Co., Ltd., Japan. Sodium trifluoroacetate (CF₃CO₂Na) was purchased from TCI-EP, Japan. Sodium acetate was obtained from Univar, Australia. All chemicals were analytical grade and were used without further purification.

2.2. Instruments and equipment

Qualitative and quantitative Fourier transform infrared spectra were obtained from a Thermo Nicolet Nexus 670 with 32 scans at a resolution of 2 cm^{-1} in a frequency range of 4000–400 cm⁻¹ using a deuterated triglycinesulfate detector (DTGS). Powder X-ray diffraction (XRD) patterns were recorded over a 2–60° (2 θ) by a RIGAKU RINT 2000 using Cu K α as an X-ray source equipped with Ni filter and operating at 40 kV and 30 mA. TG-DTA thermogravimetric analyses were carried out using a Perkin–Elmer Pyris Diamond with a N₂ flow rate of 20 mL/min and a heating rate of 10 °C/min starting from 30 to 650 °C. ¹H NMR spectrum was recorded by a JNM-A500 500 MHz spectrometer at 70 °C using CD₃COOD/D₂O as a solvent. The morphology was investigated by using a JEOL JEM-1230 transmission electron microscope (TEM) and a JEOL JSM-5200 scanning electron microscope

(SEM) at 80 and 15 kV, respectively. Particle sizes were observed by a Malvern Instruments Zetasizer Nano 75 light scattering instrument. The turbidity was determined by a Shimadzu UV-2550 UV-vis spectrophotometer. Surface area, pore volume, and pore size were measured by a Quantachrome Corporation Autusorb-1 gas sorption analyzer via the Brunauer–Emmett–Teller (BET) method applying the N₂ gas in the analyzer calibration and the liquid N₂ for the adsorbate. The sample was degassed overnight at 100 °C before measurement. The molecular weight of the chitosan nanoscaffold was measured by a Tohso HLC-8220 gel permeation chromatograph (GPC), equipped with RI detector and Tohso TSK-gel super H-RC and HM-N columns and the operating temperature was 40 °C. HFP containing 10 mM CF₃CO₂Na was used as an eluent with the flow rate of 0.2 mL/min and polymethyl methacrylate was used as a standard. The relative viscosity (η_r) was measured by a calibrated viscometer, Cannon-Ubbelohde (No. 2, A149), in 0.2 M CH₃COOH/0.1 M CH₃COONa aqueous solution at 30 ± 0.05 °C.

2.3. Procedures

2.3.1. Chitin whiskers

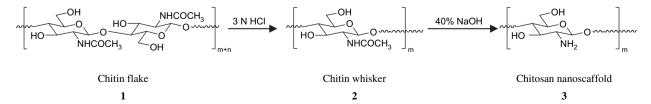
Chitin whiskers were prepared as previously described by Nair and Dufresne [22] with some modifications. In brief, chitin, **1** (1.00 g), was treated in 3 N hydrochloric acid (100.0 mL) and stirred at 105 °C for 3 h to obtain the colloidal solution. The residues were collected after centrifugation and treated with hydrochloric acid for two times. Finally, the residues were dialyzed in distilled water until they were neutral, and lyophilized to give chitin whiskers, **2**, of 86% yield (Scheme 2).

FTIR (KBr, cm^{-1}): 1661, 1624, and 1557 cm^{-1} (amides I and II).

2.3.2. Chitosan nanoscaffold

Compound 2 (20 mL, 2.45 g) was stirred in aq. NaOH (40% w/v, 100.0 mL) at 150 °C for 7 h and left at room temperature overnight. The residues were collected and the treatment was repeated for two times to result in the total alkaline treating time for 21 h. The crude product was dialyzed in distilled water until it was neutral, and lyophilized to obtain, 3, of 92% yield (Scheme 2).

FTIR (KBr, cm⁻¹): 1595 cm⁻¹ ($-NH_2$). ¹H NMR (δ , ppm): 2.367 (NHAc), 3.435 (H2 of GlcN unit in chitosan), 4.023–4.255 (H2 of GlcNAc and H3–H6 of pyranose ring), 4.9 (H1 of GlcNAc), 5.138 (H1 of GlcN).



Scheme 2. Preparation of chitosan nanoscaffold: chitin flake (1), chitin whisker (2), and chitosan nanoscaffold (3).

2.3.3. Effect of the reaction time and temperature on the degree of deacetylation and molecular weight

The alkaline treating time was varied from 7 to 28 h at 150 °C and the alkaline treating temperature was changed from 100 to 180 °C for 21 h to observe how the degree of deacetylation and molecular weight changed. The degree of deacetylation (%DD) was calculated based on the ¹H NMR spectrum considering the peak areas of the *N*-acetyl group (2.367 ppm) and the pyranose ring (4.023–4.255 ppm) using the following equation.

DD (%) = {
$$1 - [(I_{CH_3}/3)/(I_{pyranose ring}/6)]$$
} × 100

The effect of the reaction time and temperature on the degree of deacetylation was also quantitatively analyzed by FTIR and OPUS spectroscopic software version 2.0 based on the amide I band at 1656 cm⁻¹ (–CONH–) and the internal standard C–O band at 895 cm⁻¹. Relative viscosity (η_r) of **3** was used to simply trace how the molecular weight changed with the reaction time and temperature.

2.3.4. Colloidal solution stability and effect of solvent polarity and ionic strength

A suspension of **3** in water (0.72 mg in 0.2 mL) was added to 25 mL of the purified solvents: distilled water, methanol, DMSO, and *iso*-propanol. The mixture was stirred for 1 min and left at room temperature overnight. Before examining the particle size by the light scattering technique, the mixture was vigorously stirred for few minutes. Similarly, **3** was suspended in different salt concentrations, i.e. NaCl of 0.05, 0.1, 1.0, and 5.0 M and the turbidity (1/%transmittance) was observed at 650 nm in every 5 min for 20 min.

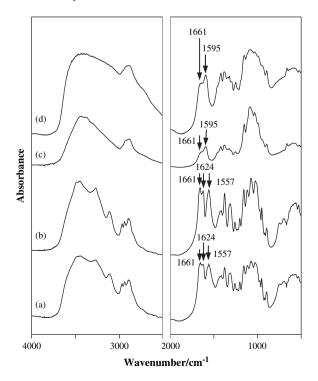


Fig. 1. FTIR spectra of (a) chitin flakes, (b) **2**, (c) **3**, and (d) chitosan flakes (95% DD).

3. Results and discussion

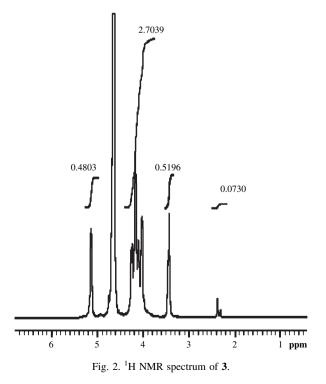
3.1. Chemical structure analysis

Nair and Dufresne [22] reported the preparation of chitin whiskers by treating crab shells in 3 N HCl for 90 min. Here, the similar procedures were carried out to find that shrimp shell needed longer treating time, i.e. 3 h, to obtain the colloidal solution. The colloidal solution was lyophilized and the particles, **2**, were collected. The FTIR spectrum of **2** (Fig. 1(b)) demonstrates a typical type of chitin, with characteristic peaks at 1661, 1624, and 1557 cm⁻¹ for amide I and amide II. Obviously, **2** shows sharp characteristic peaks (Fig. 1(b)) as compared to the starting chitin flake (Fig. 1(a)), which might be due to the highly crystalline whisker. The morphology of **2** was further studied by TEM to conclude that **2** is in the whiskers form (see Section 3.3).

Compound 2 was deacetylated in a highly concentrated alkaline solution (40% aq.) to obtain 3. As compared to 2 (Fig. 1(b)), 3 (Fig. 1(c)) shows a significant decrease in the peaks at 1661 cm⁻¹ and a new peak at 1595 cm⁻¹ ($-NH_2$). The overall FTIR pattern of 3 is similar to that of chitosan flakes (Fig. 1(d)) confirming the successful deacetylation. Although 3 was expected to show the sharp peak, as it was derived from highly crystalline chitin whiskers of 2, we found that 3 showed broad bands, suggesting an increase in amorphous fraction (see Section 3.3).

3.2. Studies on degree of deacetylation

Fig. 2 shows that the deacetylation of 2 gives 3 with a degree of deacetylation of 95% when the alkaline treatment was



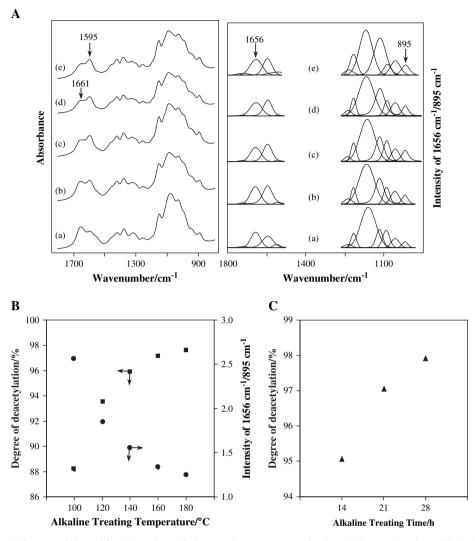


Fig. 3. (A) FTIR spectra and the curve fitting of **3** under various alkaline treating temperatures for the alkaline treating time of 21 h: (a) 100 °C, (b) 120 °C, (c) 140 °C, (d) 160 °C, and (e) 180 °C; (B) quantitative analysis of **3** based on FTIR (\bullet) and the degree of deacetylation of **3** resulted from ¹H NMR (\blacksquare); (C) degree of deacetylation of **3** evaluated by ¹H NMR under various alkaline treating times for the alkaline treating temperature of 150 °C.

at 150 °C for 14 h [23]. The treatment of chitin whisker suspension in alkaline to obtain chitosan was quantitatively analyzed by the ¹H NMR and FTIR. Fig. 3(A) shows an example of FTIR curve fitting at 1656 and 895 cm⁻¹ when the alkaline treating temperature was varied. The peak ratio between these two peaks was plotted to confirm that the amide I band gradually decreased as the alkaline treating temperature was increased from 100 to 180 °C (Fig. 3(B)). Fig. 3(B) also shows how the results from the ¹H NMR support the quantitative FTIR. It was found that the degree of deacetylation was as high as 98% for the alkaline treating temperature at 180 °C. When the alkaline treating temperature was fixed at 150 °C, the alkaline treating time for 7 h did not give the successful deacetylation as confirmed by the FTIR. However, when the treating time was 14 h, the degree of deacetylation was suddenly increased to 95% (Fig. 3(C)) as identified by the ¹H NMR. Our preliminary works confirm that the alkaline solution should be as high as 40% for an effective deacetylation of the chitin whisker.

3.3. Morphological studies

Considering the case of chitin, the specific treatment in the hydrochloric acid solution changed the morphology of the chitin, as observed by WAXD (Fig. 4(a) and (b)). Here, the major peaks at 9° and 19° (2θ) are significantly sharp after the chitin was hydrolyzed. The XRD pattern was relevant to the one reported by Nair et al. and this confirmed the crystalline structure of **2**. The observation by TEM confirmed that **2** is in highly crystalline of whisker form (see further discussion on Fig. 5(a)).

As **3** was obtained from **2**, the similar crystalline structure was expected. Surprisingly, as shown in Fig. 4, the WAXD pattern of **3** (curve (c)) is rather broad along 2θ angles of 10° , 20° , and 22° . The peaks are broader than those of **2** (curve (a)) and even broader than that of the commercial chitosan flakes (curve (d)). This implied that the packing structure of **3** was changed from the tight packing to the amorphous morphology after alkaline treatment.

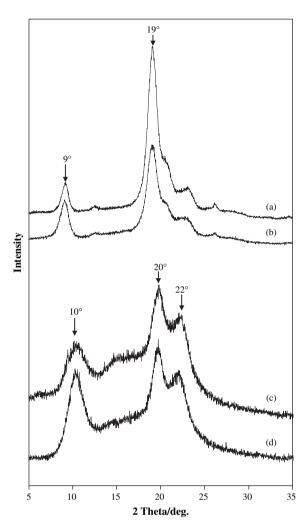


Fig. 4. WAXD diffractograms of (a) **2**, (b) chitin flakes, (c) **3**, and (d) chitosan flakes (95% DD).

Transmission electron microscopy (TEM) gives us the information to determine the morphology in detail. Compound **2** gives a uniform needle, the so-called whisker, with a length of 200-560 nm, width of 18-40 nm, and an average aspect ratio of 18 (Fig. 5(a)). It is important to note that the aspect ratio of **2** obtained from shrimp shells is similar to that of the whisker from crab shells [22].

The observation of 3 by TEM clarifies that 3 is in a fibrous form and each fiber is branching (Fig. 5(b)). Thus, it might not be appropriate to simply term 3 as a nano-whisker. Taking this result combined with those from the FTIR and WAXD into consideration, it can be concluded that the alkaline treatment changes the chitin nano-whisker to the chitosan nanoscaffold.

The scaffold structure was further confirmed by SEM. After 2 and 3 were lyophilized, the observation by SEM was carried out. The as-received chitin and chitosan flakes show a bulk material as indicated in Fig. 6(a) and (b). For 2, the SEM micrograph shows the aggregation of whiskers (Fig. 6(c)) implying the packing of whisker after the lyophilization. When it comes to 3, the packing was induced significantly to obtain a regular matrix structure as shown in Fig. 6(d). The fibrous network forms a porous structure with the pore diameter of ~ 200 nm.

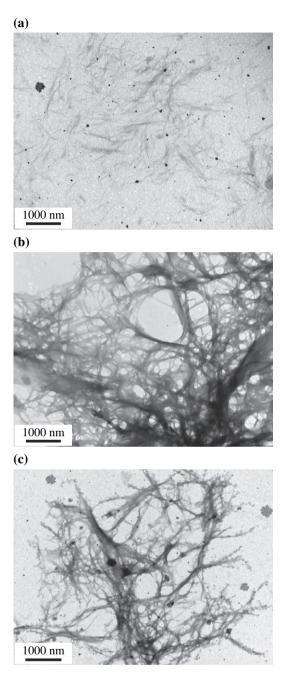


Fig. 5. TEM micrographs of (a) 2 in water, (b) 3 in water, and (c) 3 in DMSO.

3.4. Studies on molecular weight

An attempt to clarify the chitin whisker and chitosan nanoscaffold in terms of molecular weight was carried out. The GPC measurement was done in a specific condition using hexafluoro-2-propanol (HFP) as the solvent. As **3** was prepared by deacetylating **2** in a highly concentrated alkaline solution, the chain scission to reduce the molecular weight was expected. Surprisingly, it was found that **3** showed a molecular weight of 137,262 Da, whereas **2** was 62,838 Da. Considering the morphology of **3** as discussed above, the increase in molecular weight of **3** might come from the fact that **3** was in a scaffold structure. We suspected that when **3** was swelling with a

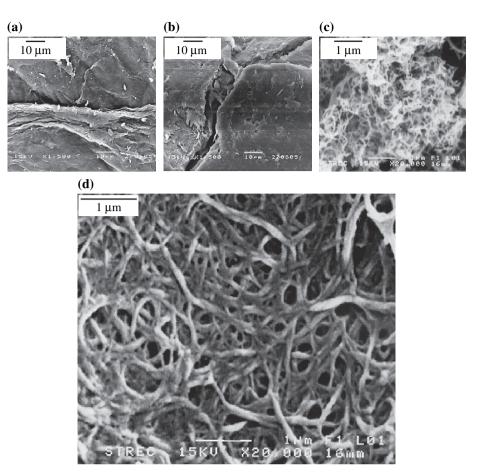


Fig. 6. SEM micrographs at 15 kV of (a) chitin flakes (\times 1500), (b) chitosan flakes 95% DD (\times 1500), (c) 2 (\times 20,000), and (d) 3 (\times 20,000).

scaffold network in the HFP solvent, it might perform as a high molecular weight species or polymer bulk through the GPC column. In other words, the increase in molecular weight of 3 might be a kind of the apparent value due to the significant radius of gyration when chitosan was in a scaffold structure.

To confirm our speculation, the conditions in the preparation of $\mathbf{3}$ with various alkaline treating temperatures and times were focused. The molecular weight of the samples was traced by simply taking the relative viscosity. As shown in Fig. 7(a) and (b), the increase in alkaline treating temperature and treating time result in an increase of viscosity. This might be due to the apparent viscosity of $\mathbf{3}$ as discussed in the GPC result.

3.5. Thermal stability

TGA studies under N₂ atmosphere were applied to identify the degradation temperature (T_d) and the amount of ash content (Table 1). In general, chitin—chitosan shows an ash content of 10—20% due to its strong hydrogen-bonded structure to induce incomplete combustion. It was expected that **2** would give lower thermal stability than chitin as a result of the depolymerization, however, the degradation temperature and the ash content were found to be similar to those of the chitin flakes.

It is important to note that when 3 was lyophilized, the product obtained was rather difficult to be well redispersed

in the solvents. This implies that the drying step might initiate the recovery of the inter- and intramolecular hydrogen bond network among chitosan chain to result in the regular packing structure as demonstrated in SEM micrographs (Fig. 6). As a result, **3** and the chitosan flakes show the similar degradation temperature and the ash content (Table 1).

In order to avoid the repacking structure of **3**, the TGA study on **3** without lyophilization was carried out. Table 1 shows that **3** without lyophilization exhibited its T_d at 301 °C and the ash content of as less as 1%. This supports our speculation on the regeneration of the inter- and intramolecular hydrogen bond at a certain level after lyophilization.

3.6. Porosity of nanoscaffold

BET measurement was applied to evaluate the nanoscaffold for its porosity and surface area. As summarized in Table 2, chitin flakes give a surface area of $15.77 \text{ m}^2/\text{g}$, whereas 2 exhibits about twice that. The increase in surface area of 2 is relevant to the morphology as observed by SEM (Fig. 6(a) and (c)). The commercialized chitosan flakes give a surface area of 7.70 m²/g. However, when it comes to 3, the surface area over seven times that of the chitosan flakes (Table 2) and this supports the scaffold morphology as shown in Fig. 6(d). Pore volume and pore size are the other parameters to show the

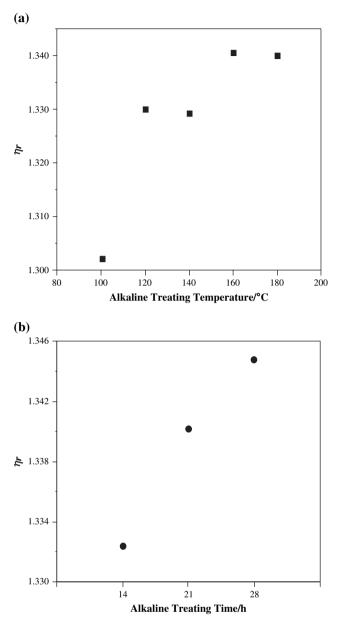


Fig. 7. Relative viscosity of **3** by varying (a) alkaline treating temperature when the alkaline treating time was 21 h and (b) alkaline treating time when the alkaline treating temperature was 150 $^{\circ}$ C.

details about the scaffold structure. The increases in pore volume and pore size of **3** are as high as ~ 26 times and ~ 4 times, respectively, compared to the chitosan flakes (Table 2). Pattison et al. [24] reported the PLGA scaffold with an average pore

Table 1 Degradation temperature (T_d) and ash content of chitin flakes, **2**, **3**, **3** (without lyophilization), and chitosan flakes 95% DD

Compound	$T_{\rm d}/^{\circ}{ m C}$	Ash content/%
Chitin flakes	387	16.28
2	387	18.57
3	308	25.67
3 (without lyophilization)	301	~1
Chitosan flakes 95% DD	309	35.30

Table 2 Surface area, pore volume, and pore size of chitin flakes, **2**, **3**, and chitosan flakes 95% DD

Compound	Surface area/(m ² /g)	Pore volume/(cc/g)	Pore size/Å
Chitin flakes	15.77	0.03	64.47
2	34.59	0.04	40.69
3	55.75	0.22	157.50
Chitosan flakes 95% DD	7.70	0.01	43.20

diameter of ~215.0 μ m and a surface area of ~441.25 m²/g. Here, **3** shows the average pore size diameter at 15.8 nm (or 157.5 Å) denoting the nanometer level of the scaffold texture. Studies on cell adhesion and growth are in progress.

3.7. Colloidal solution performance

As nano-porous material allows an effective interaction with solvents, here, the effects of solvent, especially based on the ionic strength and polarity, were studied. It should be noted that the treatment of chitin whiskers in a strong alkaline solution followed by dialysis in water brought about the colloidal solution of 3 in water. This implies the surface hydrophilicity and its interaction with water molecules. A good ion dissociation solution of sodium chloride was chosen as a model system to study on an effect of ionic strength in the colloidal formation. Here, a certain concentration of sodium chloride solution was added to observe how ionic strength in the solution affected colloidal formation. Fig. 8 shows the dispersion ability of 3 as evaluated by the turbidity (1/%transmittance). At the initial stage (t = 0), an increase in sodium chloride concentration (from conditions (b) to (e)) induces the increase in turbidity. In other words, the increase in salt concentration enhances the dispersion or swelling of **3**. This might come from

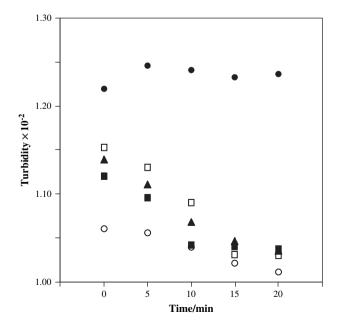


Fig. 8. Turbidity of **3** in (a) distilled water (\bigcirc), (b) NaCl 0.05 M (\blacksquare), (c) NaCl 0.1 M (\blacktriangle), (d) NaCl 1.0 M (\square), and (e) NaCl 5.0 M (\bullet).

Table 3 Particle size of **3** in water, methanol, DMSO, and *iso*-propanol

Solvent	Solubility parameter/ $(cal cm^{-3})^{0.5}$	Average particle size/nm
Water	23.4	2124.4
Methanol	14.5	519.4
DMSO	12.0	226.6
iso-Propanol	11.5	533.1

the effect of the salt concentration obstructing the interaction between each fiber in nanoscaffold. However, when the time passed, the conditions (b)–(d) show a significant decrease in turbidity (Fig. 8) implying the aggregation of **3**. For condition (e), where the salt concentration is almost saturated, the ionic strength might inhibit the aggregation of **3** allowing the dispersion stability to maintain the colloidal solution state for months. It should be noted that the phosphate buffer solution might also be a good representative when we consider the use of scaffold in the body, the studies on this condition are in progress.

The interaction of 3 with a series of solvents having different polarities was extensively studied. It was expected that the polarity might be another factor to control the swelling of scaffold. Table 3 reveals the effect of the solubility parameter (δ) on the particle size of **3** as determined by the light scattering technique. The δ values for water, methanol, DMSO, and *iso*-propanol are 23.4, 14.5, 12, and 11.5 (cal cm⁻³)^{0.5}, respectively [25]. It was found that the particle size of 3 was increased, when the solubility parameter increased. The particle size was as high as 2000 nm when 3 was in water suggesting good swelling of 3 in aqueous. Here, the TEM technique was applied to observe how the solvent contributed to the texture of scaffold. Fig. 5 shows the morphology of 3 in water (Fig. 5(b)) and in DMSO (Fig. 5(c)). It was clearly observed that the scaffold was significantly deformed in DMSO (Fig. 5(c)). This indicates the effect of the solvent on the scaffold morphology.

4. Conclusions

Chitosan in a nanoscaffold structure was successfully prepared via the one-pot deacetylation of chitin whisker. The branching of each chitin whisker initiated the scaffold formation as observed by TEM. The lyophilization induced the packing of nanoscaffold to be a regular network as evidenced by SEM. The scaffold structure of chitosan also exhibited the increase in the molecular weight and viscosity as compared to that of the chitin whisker starting material. The studies on the dispersion in solvents with different solubility parameters confirmed that water can be used as a well dispersed system for the chitosan nanoscaffold. The aggregation of the scaffold could also be controlled by the high ionic strength solvent.

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